



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt \$  
#34

Application of:

Docket No.: 3101-A

Peter R. Baum, William C. Fanslow III, Timothy E. Lofton,  
Eric A. Sorensen and Adel Youakim

Serial No: 09/972,268

Group Art Unit: 1645

Filed: October 5, 2001

Examiner: Not assigned

For: NECTIN POLYPEPTIDES, POLYNUCLEOTIDES, METHODS OF MAKING  
AND USE THEREOF

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION**

In response to the Notice to File Missing Parts of Nonprovisional Application, having a mailing date of November 6, 2001, Applicants enclose the following documents to complete the filing of the application:

1. a Declaration executed by the Inventors;
2. a Statement Under 37 CFR 3.73(b) and Power of Attorney, and a copy of the Assignment executed by the inventors;
3. a copy of The Notice to File Missing Parts of a Nonprovisional Application.
4. Request for Corrected Filing Receipt
5. Copy of Filing Receipt with correction noted
6. Amended Bibliographic Data Sheet

Applicants hereby authorize the Commissioner to charge the required surcharge of \$130, for the Response to Deposit Account No. 09-0089. A copy of this paper is enclosed for accounting purposes.

Applicants hereby authorize the charging of any fee required for the filing of the enclosed documents, even if different from that calculated above, or crediting of any overpayment, to Deposit Account No. 09-0089.

Respectfully submitted,

Joseph R. Baker  
Registration No. 40,900

Immunex Corporation  
Law Department  
51 University Street  
Seattle, WA 98101  
Telephone (206) 587-0430

Response to Notice to File Missing Parts  
Of Nonprovisional Application

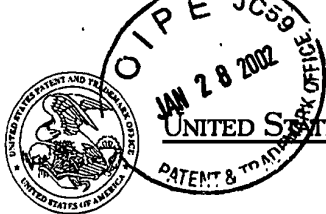
3101-A

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date: December 5, 2001

Signed: Elizabeth M. McCarthy  
Elizabeth M. McCarthy



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/972,268	10/05/2001	Peter A. Baum	3101-A

22932  
IMMUNEX CORPORATION  
LAW DEPARTMENT  
51 UNIVERSITY STREET  
SEATTLE, WA 98101

CONFIRMATION NO. 4855

## FORMALITIES LETTER



\*OC000000007029933\*

Date Mailed: 11/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

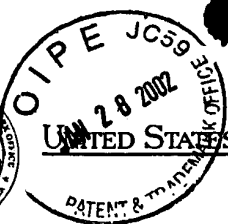
*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/01/2002 BABRAHA1 00000025 090089 09972268

01 FC:105 130.00 CH



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/972,268 ✓	10/05/2001 ✓	1645	1418	3101-A	4	53	4

CONFIRMATION NO. 4855 ✓

22932  
IMMUNEX CORPORATION  
LAW DEPARTMENT  
51 UNIVERSITY STREET  
SEATTLE, WA 98101

## FILING RECEIPT



\*OC00000007029932\*

Date Mailed: 11/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

<sup>R</sup>  
Peter A. Baum, Seattle, WA;  
William C. Fanslow III, Normandy Park, WA;  
Timothy E. Lofton, Marysville, WA;  
Eric A. Sorensen, Lynnwood, WA;  
Adel Youakim, Seattle, WA;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/238,557 10/05/2000 ✓

## Foreign Applications

If Required, Foreign Filing License Granted 11/02/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

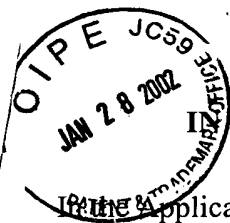
Nectin polypeptides, polynucleotides, methods of making and use thereof ✓

## Preliminary Class

RECEIVED

NOV 14 2001

IMMUNEX CORPORATION  
LAW DEPARTMENT



THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5

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Docket No. 3101-A

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Art Unit: 1645

For: NECTIN POLYPEPTIDES, POLYNUCLEOTIDES, METHODS OF  
MAKING AND USE THEREOF

**REQUEST TO CORRECT FILING RECEIPT**

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants enclose a copy of the Filing Receipt that was received from the Patent and Trademark Office in connection with the above-referenced patent application and request the below noted correction which is submitted with the Response to Notice to File Missing Parts of Nonprovisional Application.

Applicants note that the middle initial of the first applicant is incorrect. Please correct the name to read as follows:

**Peter R. Baum**

The correction requested is marked in red on the enclosed Filing Receipt. An amended Bibliographic Data Sheet is enclosed noting the above requested correction. Applicants request that the correction be made and a new Filing Receipt issued with the correct information.

Respectfully submitted,

Joseph R. Baker  
Registration No. 40,900  
Telephone: (206) 587-0430

Law Department  
Immunex Corporation  
51 University Street  
Seattle, WA 98101

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Signed:

  
Elizabeth M. McCarthy

Date:

December 5, 2001